

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Petrus Antonius VAN NIJNATTEN Atty. Ref.: 1328-26; Confirmation No. 3794

Appl. No. 10/563,862 TC/A.U. 1794

Filed: May 12, 2006 Examiner: Gugliotta

For: EMISSION ENHANCING COATING, ARTICLE TO WHICH THE COATING IS  
APPLIED, AND METHOD FOR APPLYING THE COATING TO A SURFACE

\* \* \* \* \*

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

DECLARATION UNDER 37 CFR §1.132

I, Petrus Antonius VAN NIJNATTEN, hereby declare and state as follows:

1. That I am the inventor of the subject application, a citizen of The Netherlands and my address is as stated in my declaration under Rule 63 (37 CFR §1.63) of record in the subject application.
2. That I have assigned my rights to the subject application to my former employer Nederlandse Organisatie voor toegepast-natuurwetenschappelijk Onderzoek TNO.
3. That I am familiar with the Official Action of October 25, 2009 and in particular with US patent 6,125,598 to Nelson as well as the published US application of Bahay et al US 2004/0106017 A1.
4. That I have carefully noted the suggestion in the Official Action of modifying the thickness of specific layer in the stack described by Nelson which is to be counter balanced with maintaining favorable anti-reflection properties described in Nelson.

5. That the following experiment was conducted under my direction:

The absorption of two coating stacks having multiple conductive and non-conductive layers have been modeled, where the thickness of the non-conductive layers were varied as shown in the attached Annex. The coating stacks had from bottom (solar cell substrate) to top (air) the following sequential layers:

- 200 or 1000 nm  $\text{SiO}_2$
- 35 nm ITO (tin-doped indium oxide)
- 200 or 1000 nm  $\text{SiO}_2$
- 17 nm ITO
- 200 or 1000 nm  $\text{SiO}_2$
- 5 nm ITO

In the first example each non-conductive  $\text{SiO}_2$  layer in the coating stack had a thickness of 200 nm. In a second example each non-conductive  $\text{SiO}_2$  layer in the stack had a thickness of 1000 nm. A graph of the modeled results is shown in the Figure of the attached Annex. As I found and as this Figure shows, the absorbance properties strongly differ. In particular, for wavelengths above 5  $\mu\text{m}$  the absorbance differs by as much as 50-60% when the thickness of the  $\text{SiO}_2$  layer is decreased from 1000 nm to 200 nm.

Based on my experience with emission enhancing coatings and in particular solar cells, in my opinion these results show that adjustments in layer thicknesses are expected to have drastic effects on the overall performance of the coating, in particular the anti-reflection properties. Based on this information I would not be inclined to adjust the layer thicknesses in the coating stack.

6. I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Petrus Antonius VAN NIJNATTEN  
Appl. No. 10/563,862

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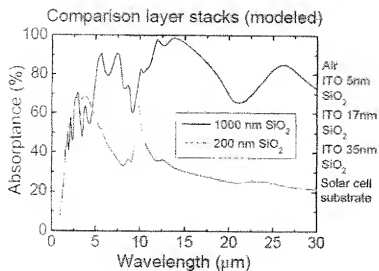
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Petrus Antonius VAN NIJNATTEN

21/05/2010

DATE: \_\_\_\_\_

# ANNEX



Optical model:

Air  
 ITO 5nm  
 $\text{SiO}_2$  200nm / 1000nm  
 ITO 17nm  
 $\text{SiO}_2$  200nm / 1000nm  
 ITO 35nm  
 $\text{SiO}_2$  200nm / 1000nm  
 Solar cell substrate (ZnO:Al coated Si)

ITO = tin-doped indium oxide